



TRINITY WEALTH MANAGEMENT

ADV 2A
FIRM BROCHURE

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Updated May, 2016

This wrap fee program brochure provides information about the qualifications and business practices of Trinity Wealth Management. If you have any questions about the contents of this brochure, please contact us at 806-584-8418 and/or at brent@TrinityWM.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Trinity Wealth Management is also available on the SEC's website at www.adviserinfo.sec.gov.

Material Changes

The Firm is required to advise you of an material changes to our Firm Brochure (“Firm Brochure”) since our last filing. Trinity Wealth Management has made the following changes to the Wrap Brochure:

1. We have updated our fee schedule (Service Fees and Compensation, Fees and Compensation) to include new fee structures to offer to clients. These fee structures remain fee-only (do not include commission remuneration) and are as follows:
 - Hourly Model

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ADVISORY BUSINESS

ADVISORY FIRM DESCRIPTION

Trinity Wealth Management (“TWM” or the “Firm”) has been in business since March, 2014 and approved by the State of Texas Securities Board as of June, 2014. The principal owner and founder is Brent Douglas Dickerson. TWM provides its clients with investment advisory service, which includes comprehensive wealth management services and financial planning services.

TYPES OF ADVISORY SERVICES

FINANCIAL PLANNING SERVICES

Financial Planning advice will typically involve providing a variety of services to clients regarding the management of their financial resources based upon an analysis of their individual needs. The Firm will first conduct a complimentary initial consultation during which the firm and the prospective client will learn more about each other to determine if they are a good fit for each other. During this meeting, or at a subsequent second complimentary meeting, pertinent information about the client’s financial circumstances and objectives will be determined. The information normally covers, but is not limited to, present and anticipated assets and liabilities, retirement goals, investment horizon, financial needs and cost of living needs. Once such information has been reviewed and analyzed, a written financial plan designed to achieve the client’s stated financial goals and objectives will be produced and presented to the client.

INVESTMENT ADVISORY SERVICES

TWM also offers investment advisory services known as the Trinity Wealth Management Wrap-Fee Program, which includes portfolio management for individuals and for businesses, pension consulting and other portfolio services. These services are separately disclosed in the Firm’s Wrap-Fee brochure.

CLIENT ASSETS UNDER MANAGEMENT

As of May 18, 2016, the Firm had \$20,000 of discretionary assets under management.

FEES AND COMPENSATION

TWM utilizes the following financial planning fee schedule, subject to negotiation depending on the nature, complexity and time involved in providing the client with requested services. The following fees are meant to be separate from the Wrap-fee program and are meant as fees for limited duration as opposed to an ongoing wealth management relationship:

FIXED FEES

TWM will charge a fixed fee, which ranges between \$1,000 and \$10,000 for comprehensive financial planning services. The fee is determined at the onset of the engagement and depends upon the complexity of the client’s needs and the scope of the financial planning services required to meet those needs. This fee may be charged partially up-front and upon completion of the plan, with plans generally being completed within 90 days. The amount charged up-front and at completion is negotiable, but the up-front charge will never exceed 50% of the total cost of fees for service. *In limited circumstances*, the total cost could potentially exceed this fixed amount, which

Any Reference to the term “Registered” or “Registered Investment Advisor” (RIA) does not imply a certain level of skill or training.

is directly dependent upon the complexity of the contracted service. In such cases, TWM will notify the client and may request that the client pay an additional fee.

HOURLY FEES

TWM charges an hourly consultation fee, which ranges between \$100 and \$300 depending on the nature of the contracted services. These consultation fees are due upon completion of the consultation.

TERMINATION

The typical financial planning agreement TWM enters into with clients allows for either party to terminate the agreement immediately upon receipt of written notice. The client may terminate a financial planning agreement without penalty within (5) business days after entering the agreement. Otherwise, at the date of termination, the client agrees to pay fees due the Firm on a pro rata basis or the Firm will refund any prepaid fees which have not yet been earned (e.g. if a client chooses not to go through with the plan after paying TWM ½ of the fee, the Firm will refund that portion which has not been earned of the fee to the client.)

PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

TWM does not charge any performance-based fees or engage in side-by-side management.

TYPES OF CLIENTS

TWM provides investment advisory services, and financial planning services to:

- Individuals
- High Net Worth Individuals
- Trusts, Estates, or Charitable Organizations
- Corporations and other businesses

METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

TWM does not invest for clients in its financial planning role, please refer to the Wrap-fee Program for information regarding TWM's role as an investment manager.

The financial planning process will involve the collection, organization and assessment by TWM of all relevant client data, as well as, identification of the client's financial concerns, goals, and objectives. The primary objective of this process is to allow TWM to assist the client in developing a strategy for the successful management of income, assets and liabilities, along with other financial related matters to help meet the client's long-term financial goals and objectives.

Financial plans are based on the client's financial situation at the time the plan is presented and are based on financial information disclosed by the client to TWM. TWM cannot offer any guarantees or promises that the client's financial goals and objectives will be met. As the client's financial situation, goals, objectives or needs change, the client must notify TWM promptly.

Clients are advised that financial plans do not guarantee investment results. Investment assumptions included in a financial plan are not guaranteed and should be monitored based on each client’s individual risk temperament, time horizon and portfolio allocation.

DISCIPLINARY INFORMATION

There have been no disciplinary actions against TWM or Mr. Dickerson.

OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

TWM has no other financial industry activities or affiliations.

CODE OF ETHICS, PARTICIPATION OR INTERES IN CLIENT TRANSACTIONS AND PERSONAL TRADING

CODE OF ETHICS

TWM has adopted a Code of Ethics which describes the general standards of conduct that the Firm expects of all Firm personnel (collectively referred to as “employees”) and focuses on three specific areas where employee conduct has the potential to adversely affect the client:

1. Misuse of nonpublic information,
2. Personal securities trading, and
3. Outside business activities.

Failure to uphold the Code of Ethics may result in disciplinary sanctions, including termination with the Firm. Any client or prospective client may request a copy of the Firm’s Code of Ethics which will be provided at no cost.

The following basic principles guide all aspects of the Firm’s business and represent the minimum requirements to which the Firm expects employees to adhere:

- Client’s interests come before employees’ personal interests and before the Firm’s interests.
- The firm must fully disclose all material facts about conflicts of interest of which it is aware between itself and clients as well as between Firm employees and clients.
- Employees must operate on the Firm’s behalf and on their own behalf consistently with the Firm’s disclosures and to manage the impacts of those conflicts.
- The Firm and its employees must not take inappropriate advantage of their positions of trust with or responsibility to clients.
- The Firm and its employees must always comply with all applicable securities laws.

MISUSE OF NONPUBLIC INFORMATION

The Code of Ethics contains a policy against the use of nonpublic information in conducting business for the Firm. Employees may not convey nonpublic information nor depend upon it in placing personal or recommending clients’ securities trades.

PERSONAL SECURITIES TRADING

TWM or individuals associated with the Firm may buy, sell, or hold in their personal accounts the same securities the Firm recommends to its clients. This creates a potential conflict of interest with the possibility of Firm personnel obtaining a better price than clients obtain. To mitigate this conflict, such trades may occur on the same day at the same time receiving average pricing or after the client with the client receiving the same or better pricing. The purchase of IPOs or private placements is allowed with prior permission from Mr. Dickerson, the Firm's Chief Compliance Officer. The Firm does not allow front running.

Employees are required to submit reports of personal securities trades on a quarterly basis, and securities holdings annually. These are reviewed by the Chief Compliance Officer to ensure compliance with the Firm's policies.

OUTSIDE BUSINESS ACTIVITIES

Employees are required to report any outside business activities generating revenue. If any are deemed to be in conflict with clients, such conflicts will be fully disclosed or the employee will be directed to cease this activity.

PROFESSIONAL DESIGNATION POLICY AND PROCEDURES

The following Profession Designations (PDs) are approved for use by investment adviser representatives (IARs) of this firm:

- The CFA Institute's Chartered Financial Analyst (CFA)
- The Certified Financial Planner Board of Standards, Inc.'s Certified Financial Planner (CFP)
- American institute of Certified Public Accountant's accredited Personal Financial Specialist (PFS)
- Investment Adviser Association's Chartered Investment Counselor (CIC) or
- American College, Bryn Mawr Pennsylvania designation Chartered Financial Consultants (ChFC)
- The American Institute of CPA's Certified Public Accountant (CPA)

These PDs may only be used in a manner commensurate with the requirements of the Texas Securities Act and the Board Rules and the rules and guidelines of the designating organization.

Before an IAR may use a PD, the IAR must request approval in writing (include evidence of right to use the PD) from the CCO. The CCO will review the request. If the CCO approves the use of the PD, the approval may be documented by the CCO's written notation "Approved" and his initials with the date upon IAR's written request.

If an IAR would like to use a PD, not on this list, the IAR may make a written request to the CCO which includes the name of the designating or certifying organization including where and when this organization was accredited. It is preferred that the designating or certifying organization be accredited by the American National Standards Institute (ANSI); the National Commission for Certifying Agencies, or an agency on the U.S. Department of Education's list of "Accrediting Agencies Recognized for Title IV Purposes".

The CCO will approve or deny the request in writing. The decision is solely within the discretion of the CCO.

An IAR may NOT use a senior specific certification or designation that indicates or implies that the user has special certification in advising or servicing senior citizens or retirees, in such a way as to mislead any person.

Additional prohibitions include:

- Use of a PD that was not earned or for which the IAR is ineligible;
- Use of a nonexistent or self-conferred PD;
- Use of a PD that implies a level of qualifications that the IAR using the PD does not have; and
- Use of a PD that was obtained from an organization that:
 - Is primarily a sales/marketing training organization;
 - Does not have reasonable standards for assuring the competency of its designees;
 - Does not have reasonable procedures for monitoring and disciplining its designees; or
 - Does not have reasonable continuing education requirements.

BROKERAGE PRACTICES

In its financial planning role, TWM does not recommend brokers for clients.

REVIEW OF ACCOUNTS

Mr. Dickerson conducts all financial plan reviews. A customized written financial plan is produced and presented to each client, with the exception of hourly consultations, which may or may not result in a written document.

CLIENT REFERRALS AND OTHER COMPENSATION

TWM does not pay outside individuals or entities for referring clients.

CUSTODY

TWM does not manage accounts in its financial planning role so does not have custody of client assets.

INVESTMENT DISCRETION

TWM does not manage accounts in its financial planning role, so does not have investment discretion of client assets.

VOTING CLIENT SECURITIES

In its financial planning role, TWM does not vote proxies for clients.

FINANCIAL INFORMATION

There is no financial condition that is reasonably likely to impair the Firm's ability to meet its contractual commitments to its clients.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Please see the brochure supplement, following this brochure, for information regarding the Firm's owner, Brent Dickerson.

Neither the Firm nor any of its officers or principals has been involved in an award in an arbitration claim alleging damages, or an award of being found liable in a civil, self-regulatory organization (SRO), or administrative proceeding.

Neither the Firm nor any of its officers or principals has a relationship or arrangement with an issuer of securities.



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May, 2016

This brochure supplement provides information about Brent Dickerson that supplements the Trinity Wealth Management Firm Brochure. You should have received a copy of that brochure. Please contact Brent at 806-584-8418 if you did not receive TWM's brochure or if you have any questions about the contents of this supplement or the Firm Brochure.

Additional information about Brent Dickerson is available on the SEC's website at www.adviserinfo.sec.gov

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EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

BRENT D. DICKERSON, CFP®, BORN 1977

Brent is a graduate of Texas Tech University with a Master’s of Science degree in Personal Financial Planning. He is also a graduate of West Texas A&M University with a Bachelor’s of Science degree in Political Science. Brent began in the financial services industry in 2001 at Amarillo National Bank’s asset management division as an Investment Assistant. Brent is a CERTIFIED FINANCIAL PLANNER™ practitioner, a designation granted by the CFP® Board of Standards, Inc.

Brent is a member of the Financial Planning Association (FPA) and previously sat as the Technology Chairman for the FPA of West Texas chapter, from 2013 to 2015. Brent is also a member of the XY Planning Network (XYPN), the National Association of Personal Financial Advisors (NAPFA) and the Fee-Only Network (FON).

BUSINESS BACKGROUND

Trinity Wealth Management, Owner & Financial Planner, March, 2014 – Present

South Plains College, Adjunct Professor, January, 2015 – Present

Slaton Independent School District, Educator, August 2012 – August 2015

Sanford-Fritch Independent School District, Educator, August, 2010 – August, 2011

Navigation Financial Group, Registered Representative, August, 2009 – March, 2010

Heritage Wealth Management, Certified Financial Planner, February, 2008 – August, 2009

Legacy Wealth Management, Client Services Manager, February, 2007 – January, 2008

Amarillo National Bank, Investment Assistant, February, 2001 – August, 2003

EDUCATIONAL BACKGROUND

CFP® Certification, 2007

Texas Tech University, MS, Personal Financial Planning, 2006

West Texas A&M University, BS, Political Science, 2000

CERTIFIED FINANCIAL PLANNER™ CERTIFICATION

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold the CFP® designation. It is recognized in the United States and a number of other countries for its

1. High standards of professional education
2. Stringent code of conduct and standards of practice, and
3. Ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements, known as the 4-Es:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board’s studies have determined as necessary.
- Examination – Pass the Comprehensive CFP® Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year.)
- Ethics – Agree to be bound by the CFP® Board’s Standard of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of CE every two years, including 2 hours of Ethics education.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP® Professionals who fail to comply with the above standards and requirements may be subject to CFP® Board’s enforcement process, which could result in suspension or permanent revocation of the certification.

DISCIPLINARY INFORMATION

Mr. Dickerson has no disciplinary or legal events to disclose.

OTHER BUSINESS ACTIVITIES

Mr. Dickerson is not engaged in any other investment related business or occupation. Brent is however, employed by South Plains College as an adjunct professor, teaching 2-year college courses in State and Federal Government. This occupation does provide Brent with income and involves a minimal amount of time. In this role, Brent is currently teaching college students.

ADDITIONAL COMPENSATION

Mr. Dickerson does not receive any economic benefit from a non-client for providing advisory services.

SUPERVISION

Mr. Dickerson is the Sole-Proprietor of TWM and is not supervised.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Mr. Dickerson has not been found liable in an arbitration claim, nor has he been found liable in a civil, self-regulatory organization (SRO), or administrative proceeding. Mr. Dickerson has not been the subject of a bankruptcy petition.